



SOLARI  
INVESTMENT  
ADVISORY  
SERVICES, LLC

Post Office Box 136  
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TN 38042, USA  
Tel: 731.764.5168  
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## *Solari Advisors Privacy Policy Statement*

We understand and respect the privacy of non-public personal information we receive from advisory clients of Solari Investment Advisory Services, LLC. ("Solari Advisors"). This Privacy Policy Statement applies to our relationship with you if you are an individual who obtains products or services from us for personal, family or household purposes.

### **RECOGNITION OF EXPECTATION OF PRIVACY**

You regard your personal information as private, and so does Solari Advisors. Because we do not sell or share your information with third parties not associated with services we conduct for you or on your behalf (except as allowed by law), it is not necessary that you to tell us not to share your private information.

As a client of Solari Advisors, you have a free membership to the *Solari Report* and, unless you notify us otherwise, you are automatically on the mailing list to receive by email our communications about the *Solari Report*, client memos and other similar matters of general interest to investment advisory clients, our speaking engagements, new audio seminars and similar financial education products and programs, including those offered by Solari, Inc., our sister company. If for any reason you would like to limit our communications with you, please send us an email at [inquiries@solariadvisors.com](mailto:inquiries@solariadvisors.com) with instructions about what you are not interested in receiving. Generally, our communications to the Solari network are limited to email and other computer-based methods.

### **INFORMATION WE COLLECT**

In the course of doing business with you, Solari Advisors may collect personal, non-public information about you from a variety of sources:

- Information that we receive from you according to your *Solari Report* subscription and Solari Advisors client advisory contract, for example, your name, address, telephone number, occupation, date of birth, income, investment goals, the identity of your other advisers and current and past investment holdings.
- Information about your transactions with Solari Advisors and Solari, Inc., for example, account balances, investment assets and the purchase and sale of financial education and other products from Solari and purchases of securities and other investment products through third party advisors and brokerage firms to which you have provided us access according to our agreement with you or in the course of our advisory relationship.
- Personal information, suggestions, questions and input you may have provided us by email, in connection with Solari, Inc. blog articles, radio appearances or the *Solari Report*.



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## **MAINTENANCE OF INFORMATION**

Solari has internal procedures designed to maintain accurate records concerning your personal information. Should you ever believe that our records contain inaccurate, incomplete or non-current information about you, please notify us. We will respond to requests to correct the information in a timely manner.

## **INFORMATION WE SHARE**

We are permitted by law to share information about our transactions or experiences with you or your account, such as account balances, payment history and assets purchased or sold, with our affiliated companies (i.e., Solari, Inc.), and we are likely to share this information between affiliates in order to best serve your investment and financial education needs.

We may disclose information to nonaffiliated third parties as mandated by law. For example, this may include a lawful order or requirement of a court or governmental authority having competent jurisdiction, including subpoena or other legal process. Unless prevented from doing so by law or other official action or requirement, we will inform you before providing any information about you under these circumstances.

Except as expressly agreed in advance by you, we do not share with third parties (other than contractors and our legal counsel and other advisors who are bound by our policy of confidentiality and non-disclosure as if they were direct employees) other information you have given us in connection with an application; or information we have received from other third parties.

## **INFORMATION SECURITY**

We restrict access to non-public personal information to those employees, contractors, advisors (such as the legal and accounting advisors whose names you have given us in connection with investment advisory services rendered by Solari Advisors) [who need to know that information in order to provide our products and services to you, unless you specifically authorize us to do so. We maintain physical, electronic and procedural safeguards to guard your non-public personal information.

If your relationship with us ends, we will continue to adhere to the policies and practices described in this policy statement.

We reserve the right to amend this Privacy Policy Statement from time to time. In the event we amend this policy, you will be notified by email at your email address according to our records.

We welcome your comments and suggestions on our Privacy Policy.